

Indii.com Designed By Traders For Traders

AT Ticker

Horizontal Scrolling of Advertised Trades with Block size threshold, Symbol Filtering and optional Time-stamp—block size vs volume to date

Symbol	Volume	Symbol	Volume	Symbol	Volume	Symbol	Volume
CRUS	20,000	OVTI	15,000	KLIC	15,000	OSIP	8,000
	40,000		30,000		30,000	FIRST ALERT MUSE	40,000
	14:22		14:22		14:22		231,000
						IBM	5,000
							14:22

Advertisements—IOIs and Advertised Trades

IOIs filtered by type (IOI/SP), Size (SML), Side (B/S), Exchange, Brokers, Natural/Proprietary, Symbols—by Name, Sectors, and Groupings (Holdings/Blotters/Portfolios) from OMS Database. Sorted by Time/Name/Price/Volume. IOIs are interactive (can initiate history, RFQs, and chat sessions). IOIs show aggregated Trades reported by broker in Symbol.

Advertised Trades filtered by Exchange, Brokers, Symbols—by Name, Sectors, and Groupings (Holdings/Blotters/Portfolios) from OMS Database. Sorted by Name/Size.

Indii Windows can navigate between Pages (separate sort/filter setups).

Time	Type	Size	Symbol	Price	Side	Volume
14:24	*TWPT	B 10M	AVID	40.98	N	0
14:24	*TWPT	B 15M	FORM	22.75	N	32,000
14:24	*TWPT	S 25M	FLIR	29.6	N	30,000
14:24	*TWPT	S 10M	FORM	23.	N	32,000
14:24	*TWPT	B 50M	OPSW	5.	N	0
14:23	*TWPT	S 25M	IMOS	6.8	N	0
14:23	*TWPT	S 10M	MERX	5.69	N	44,000
14:23	*TWPT	B 25M	MKSI	17.2	N	22,000
14:23	*TWPT	S 35M	SABA	4.05	N	0
14:23	*TWPT	S 25M	WGRD	4.07	N	115,000
14:23	*LEER	B 50M	SUPG	6.1	N	0
14:22	*JMP	B 100M	CD	20.55		0
14:22	*JMP	S 100M	BSX	23.3		0
14:22	*JMP	B 100M	BSX	23.2		0
14:22	*JPM	B L	ABT			95,100

History Request—Up to 365 days of IOIs and Trades

History Request/Report by Symbol

Initiated by right-click on an IOI in Advertisements Window. Reports can span up to 365 days and include IOIs and/or Trade Reports.

Trade Reports can be aggregated and show each broker's percentage of reported volume, or disaggregated to show individual block trades.

Individual lines are actionable. User can initiate Requests for Quotes (reverse IOIs) by symbol and broker.

Trades history can include 30 day totals.

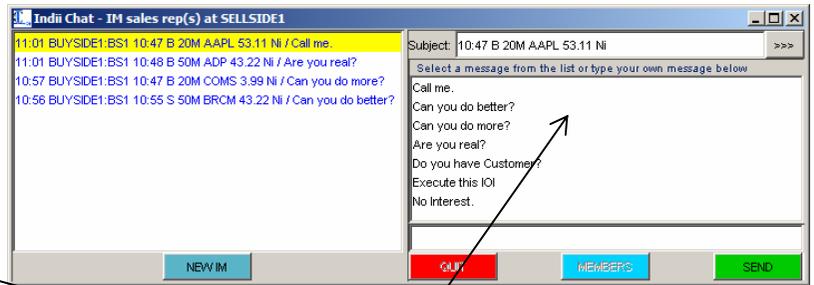
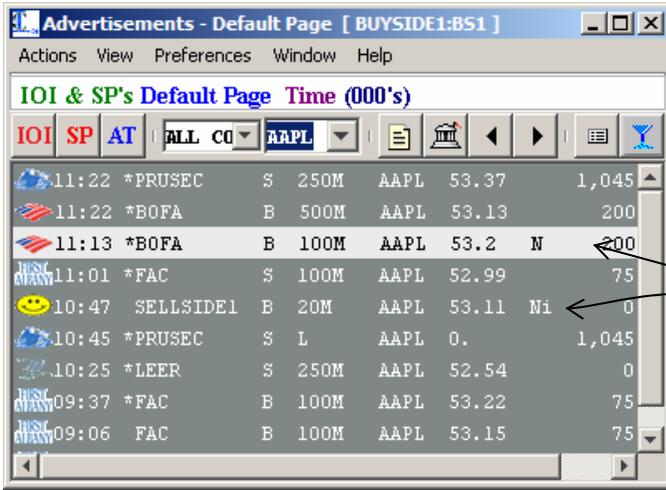
Cancelled Trades can be shown.

Proprietary IOIs can be filtered out.

IOIs and Advertised Trades can be grouped by broker and like IOIs can be condensed and expanded.

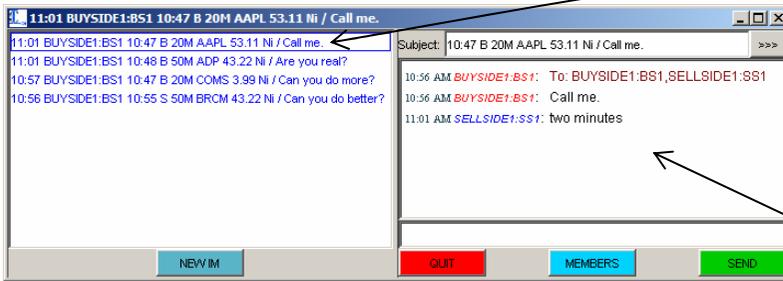
Trades		IOI's & Supers	
09-30		09-30	
PRUSEC	T 32% 1,910,000	14:20 *JMP	B 140M 24.59
JPM	T 16% 970,000	14:06 *PRUSEC	S 500M 24.57
NITE	T 15% 863,300	13:57 *BOFA	S 1500M 24.58
BOFA	T 12% 698,509	13:39 *PRUSEC	S 500M 24.58
TWPT	T 9% 520,000	13:37 *WB	S 250M 24.58
PACS	T 6% 350,000	13:33 *BOFA	S L
PIPR	T 3% 200,100	13:33 *BOFA	S 1500M 24.57
ITC	T 3% 195,200	13:32 *WB	S 250M 24.58
WB	T 3% 168,000	13:09 *BOFA	S 1500M 24.59
CIBC	T 0% 14,400	13:05 *WB	B 250M 24.58
LEGG	T 0% 6,000	13:00 *WB	B 250M 24.58
		12:34 *BOFA	B 1500M 24.59
		12:29 *BOFA	S 1500M 24.6
		12:19 *PRUSEC	S 500M 24.64
		12:00 *JMP	B 150M 24.59
		11:46 *PRUSEC	S 500M 24.58

Indii.com Makes IOIs and Advertised Trades *Interactive*



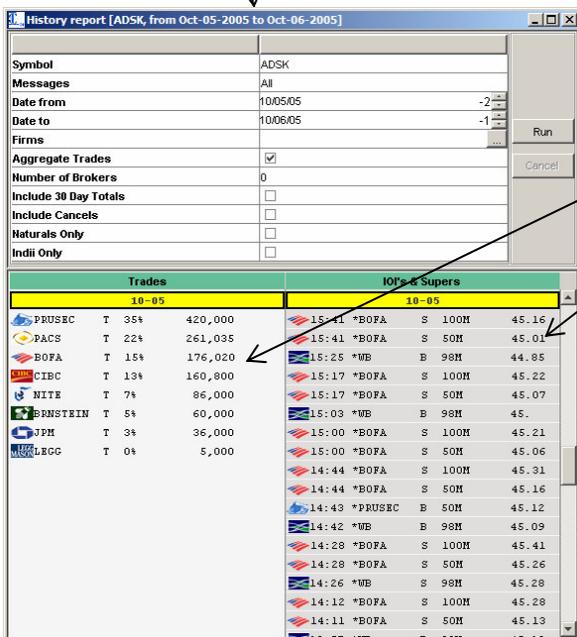
Left-clicking the Indication brings up the Chat window and prompts for Canned Query or Specific question.

Second click (or Enter Key) Initiates a new chat session between Trader and the Coverage Team assigned to him by the broker.



The new Session is entered into the *Chat Directory* of both the trader and each member of the Coverage Team. The Directory is sorted, Most Recently Updated - top-most, and updated sessions are shown with yellow background. Directory entries show the IOI referenced and the first 20 characters of query.

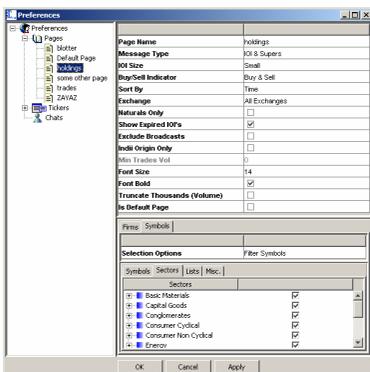
Selection of a Session in the Directory region brings it into the dialogue region for chatting.



History Window Up to 365 days

Right-click on IOI to pull History report on Symbol

Click on item in History to initiate RFQ



Pages and Tickers are created/modified with Preferences Editor. Symbol Filters can be imported dynamically from OMS database.

Requests for Quotes (Reverse IOIs - RFQs) can be initiated by clicking on an IOI or AT line in the History or Advertisements Windows. The screen will be pre-populated with the Symbol and Broker. Other Brokers can be added and the RFQ can be modified to specify size and side, if desired.

RFQs can be sent to multiple brokers by selection or by pre-defined lists. They will appear as IOIs from a buyside firm on the broker's screen and on the sender's screen.

The brokers can respond to your RFQ via chat (even if you normally block that broker from initiating chat to you) or by sending a new IOI - or by picking up the phone.